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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/762,028	01/20/2004	Hisashi Yamamoto	7814/93

00757  
GENERAL NUMBER 00757  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60611



CONFIRMATION NO. 3933

## FORMALITIES LETTER



\*OC000000012446030\*

Date Mailed: 04/26/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

08/31/2004 BABRAHA1 00000023 10762028

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65.00 DP

*A copy of this notice **MUST** be returned with the reply.*

T.W.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: August 25, 2004 Name: Jonathan P. Taylor, Ph.D. Signature: [Signature]

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **HISASHI YAMAMOTO ET AL.**

Appln. No.: **10/762,028**

Filed: **January 20, 2004**

For: **CATALYTIC ASYMMETRIC  
EPOXIDATION**

Attorney Docket No: **7814/93**

Examiner: Not Assigned

Art Unit: 1625

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Transmittal (in dup.), Response to Notice to File Missing Parts (in dup.), Petition for Extension of Time - 2 Months (in dup.), Copy of Notice to Filing Missing Parts, Declaration, Assignment Recordal, Assignment, checks for \$40, \$65 and \$210 for fees, and return post card
- ☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☒ An extension fee in an amount of \$210.00 for a 2-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$        under 37 C.F.R. § 1.17(        ).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+ \$145=			+ \$290=	
					Total	\$		Total	\$

## Fee payment:

- ☒ Checks in the amounts of \$40, \$65, and \$210 are enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$        . A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$        (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

August 25, 2004

[Signature]  
Jonathan P. Taylor, Ph.D. (Reg. No. 48,338)

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

on August 25, 2004

Date of Deposit

Jonathan P. Taylor, Ph.D., Reg. No. 48,338

Name of applicant, assignee or  
Registered Representative

Signature

Case No. 7814/93

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

HISASHI YAMAMOTO ET AL.

Serial No.: 10/762,028

Filed: January 20, 2004

For: CATALYTIC ASYMMETRIC  
EPOXIDATION

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.: Mail Stop Missing Parts

Dear Sir:


In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated April 26, 2004, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application for the above-referenced patent application.
- ☒ Applicant:
- ☒ claims small entity status. See 37 CFR1.27.

- ☐ is other than small entity
- ☒ Checks totaling \$65.00 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$65.00.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment Recordal, Assignment and check for \$40.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: August 25, 2004

  
\_\_\_\_\_  
Jonathan P. Taylor, Ph.D.  
Registration No. 48,338  
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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